

The HOMO Nodal Arrangement in Polychromophoric Molecules and Assemblies Controls the Interchromophoric Electronic Coupling

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Dedicated to Professor Mark A. Ratner

Abstract: Triptycenes spontaneously assemble into two-dimensional networks in which long-range charge transport is facilitated by the extensive electronic coupling through the triptycene framework (intramolecularly) and by cofacial π -stacking (intermolecularly). While designing and synthesizing next-generation triptycenes containing polyaromatic chromophores, the electronic coupling amongst the chromophores was observed to be highly dependent on the nature and position of the substituents. Herein, we demonstrate using hexaalkoxytriptycenes that the electronic coupling amongst the chromophores is switched on and off by a simple repositioning of the substituents, which alters the nodal arrangement of the HOMOs of the individual chromophores. A visual inspection of the HOMOs can thus provide a ready evaluation of the electronic coupling in polychromophoric molecules/assemblies, and will serve as an important tool for the rational design of modern charge-transport materials.

The design and synthesis of next-generation cofacially arrayed molecular assemblies is essential for achieving long-range charge transport/separation and critically needed to improve the functionality and performance of photovoltaic devices.^[1–4] For example, we have recently shown that triptycenes and their radical cations assemble into two-dimensional networks where the benzenoid chromophores are electronically coupled both intramolecularly through the triptycene scaffold and intermolecularly through π -stacking (Figure 1).^[4–6]

Despite the potential of the triptycene core for designing novel charge-transport materials, the effects of substituents and their positions as well as changing benzenoid rings to polyaromatic chromophores in modulating the interchromophoric coupling have not been studied. Herein, we show that the electronic coupling in the hexaalkoxytriptycenes **T23** and **T14** can be turned on and off by a simple repositioning of the substituents.

This unprecedented observation was carefully analyzed both experimentally (electrochemistry, electronic spectroscopy, and crystallography) and computationally (DFT calculations and Hückel theory), and we show that the electronic coupling can be evaluated by a simple visual inspection of the

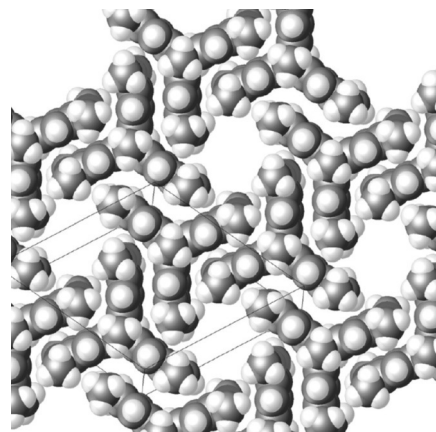
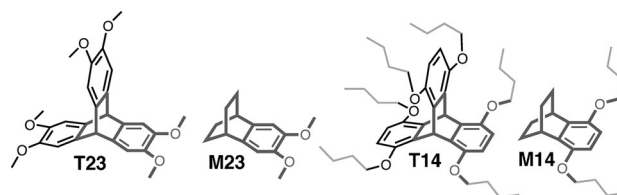


Figure 1. A cofacially arrayed 2D assembly of the hexamethoxytriptycene cation radical, determined by X-ray crystallography.^[4,5]



HOMOs of the neutral molecules. An easily employable method for evaluating the extent of electronic coupling in multichromophoric assemblies beyond triptycenes has thus been developed.

The synthesis of **T23** and **T14** and the corresponding model compounds **M23** and **M14** as well as their characterization by ¹H/¹³C NMR spectroscopy and X-ray crystallography are described in the Supporting Information.^[7–9] Butoxy-substituted **T14** and its methoxy analogue showed similar redox potentials but they differed dramatically in their solubility. Therefore, further experimental data were collected using readily soluble **T14**.

The reversible voltammograms of **T23** and **T14** and the model compounds were recorded at a platinum electrode in CH₂Cl₂ and referenced to ferrocene (Figure 2a). Interestingly, the E_{ox1} potential of **T23** (0.51 V vs. Fc/Fc⁺) was 190 mV lower than that of **T14** (0.70 V) whereas the E_{ox1} value of model compound **M23** (0.71 V) was 70 mV higher than that of **M14** (0.64 V).^[10,11] Moreover, the E_{ox1} of **T23** was 200 mV lower than that of model compound **M23**, whereas the E_{ox1} of **T14** was 60 mV higher than that of model compound **M14**. This surprising experimental observation suggests extensive

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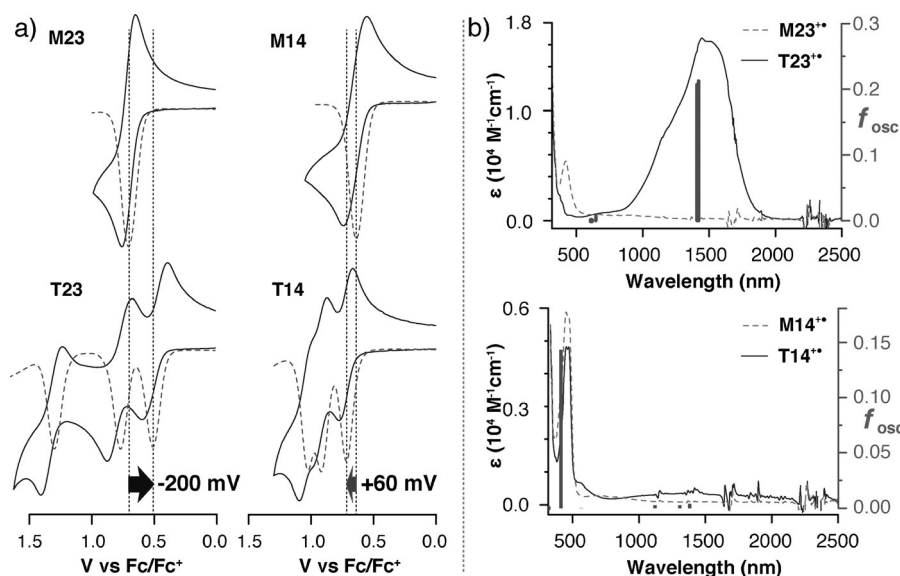


Figure 2. a) Cyclic (—) and square-wave (---) voltammograms of 2.5 mM **M23** (0.71 V), **T23** (0.51, 0.76, 1.29 V), **M14** (0.64 V), and **T14** (0.70, 0.90, 1.00 V) in CH₂Cl₂ (0.2 M *n*Bu₄NPF₆) at 22 °C, ν = 200 mV s⁻¹. b) Absorption spectra of **T23**⁺/**M23**⁺ and **T14**⁺/**M14**⁺. Thick vertical lines indicate the wavelengths and oscillator strengths of electronic excitations determined by TD-DFT calculations [B1LYP-40/6-31G(d) + PCM(CH₂Cl₂)].

electronic coupling amongst the 2,3-dialkoxybenzene units in **T23** and its absence in **T14**, the 1,4-dialkoxybenzene analogue. Moreover, the higher oxidation potentials of **T23** and **T14** (Figure 2a) show a wide spread, which is due to the interplay between electronic coupling and Coulombic repulsion.^[12]

Electronic coupling amongst the aromatic chromophores in cofacially arrayed assemblies also gives rise to an intervalence transition in the near-infrared (NIR) region of the electronic spectra of the corresponding radical cations (RCs).^[4,13–16] Accordingly, the RCs of various triptycenes and model compounds were quantitatively generated by redox titration with the robust aromatic oxidants [THE⁺][SbCl₆⁻]^[17] and [NAP⁺][SbCl₆⁻]^[18] (THE = 1,2,3,4,5,6,7,8-octahydro-9,10-dimethoxy-1,4:5,8-dimethanoanthracene, NAP = 1,2,3,4,7,8,9,10-octahydro-1,1,4,4,7,7,10,10-octamethylnaphthalene). The clean one-electron oxidation reactions of neutral **T23**, **T14**, **M23**, and **M14** were confirmed by UV/Vis spectroscopic titrations, each of which showed well-defined isosbestic points and stoichiometric consumption of the oxidants (Supporting Information, Figure S1). The appearance of the electronic spectra of the various RCs (**T23**⁺, **T14**⁺, **M23**⁺, and **M14**⁺; see Figure 2b) was independent of the nature of the oxidant or counteranion used, as established by their generation with other oxidants, such as NO⁺SbCl₆⁻, NO⁺BF₄⁻, NO⁺SbF₆⁻, and Et₃O⁺SbCl₆⁻.^[19,20] Moreover, the spectra in Figure 2b did not change even when the RC concentration was either increased or decreased by a factor of ten, suggesting a lack of dimerization or aggregation.

As the presence of an intense NIR band for polychromophoric RCs is associated with through-space electronic coupling,^[4,13–16] the appearance of the NIR band for **T23**⁺, in combination with the absence of an NIR band for

monochromophoric **M23**⁺, clearly indicates strong electronic coupling amongst the chromophores in **T23**⁺. Unlike **T23**⁺, **T14**⁺ does not show an intense NIR band, and its spectrum is similar to that of its model compound **M14**⁺ (Figure 2b). This observation suggests minimal interchromophoric coupling in **T14**⁺.^[7]

To analyze the cause and effect of this unique observation of disabling/enabling the interchromophoric coupling by repositioning of the substituents, we employed the Hückel method and DFT calculations. Interestingly, a visual inspection of the HOMO of a neutral molecule readily provides a pictorial description of the spin/charge distribution in the corresponding RC.^[21–25] For example, a comparison of the X-ray structures of neutral PAHs (PAH = polycyclic aromatic hydrocarbon) and their RCs shows that the regions of the HOMO bonding lobes undergo bond

elongation while the anti-bonding regions undergo bond contraction (see Tables S1–S6 for representative examples).

The HOMO shapes of the neutral molecules can be rapidly determined by DFT calculations (B1LYP-40, B3LYP, CAM-B3LYP, M06-2X, and ωB97X-D in combination with the 6-31G(d) basis set/PCM(CH₂Cl₂), see the Supporting Information), Hartree–Fock, or even semi-empirical (e.g., AM1, PM3) calculations. Visual inspection of the HOMOs of **M23** and **M14** shows that they bear striking similarity to the two degenerate HOMOs of benzene, which are referred to as the “quinoidal” (Q) and “bisallylic” (B) orbitals (Figure 3a). The different order of the Q and B orbitals in **M23** and **M14** is

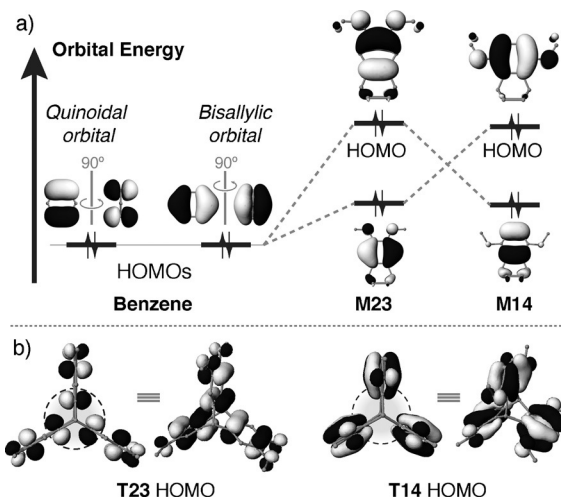


Figure 3. The HOMOs (0.03 a.u.) of a) benzene, **M23**, and **M14**, and b) **T23** and **T14** [B1LYP-40/6-31G(d) + PCM(CH₂Cl₂)]. The dashed circles denote the regions of interchromophoric electronic coupling in **T23** and **T14**. See Figure S2 for the Hartree–Fock orbitals.

due to the different positioning of the methoxy groups, as the oxygen lone pair orbitals of the *ortho*-methoxy groups in **M23** have better overlap with the Q orbital than with the B orbital of **M23**. At the same time, the oxygen lone pair orbitals of the methoxy groups in **M14** have better overlap with the B orbital than with the Q orbital (Figure 3a).

Interestingly, the HOMOs of **T23** and **T14** show that the shape of the HOMO in each chromophoric unit closely matches the shape of the HOMO in the corresponding model compounds (Figure 3). Furthermore, the electronic coupling amongst the chromophores in triptycenes should critically depend on the extent of the overlap of the HOMOs of individual chromophores in the regions of interchromophoric interactions (dashed circles in Figure 3b). Indeed, visual inspection of the shapes of the **T23** and **T14** HOMOs clearly suggests that the probability of finding a HOMO electron (i.e., the HOMO density) in the central part of the triptycene, which is responsible for the electronic coupling, is much higher in **T23** than in **T14** (Figure 3b).

A ballpark comparison of the orbital overlap of the chromophores in triptycenes **T23** and **T14** can be accomplished using the Hückel method: The HOMOs of triptycenes **T23** and **T14** were assumed to consist of the three identical HOMOs of the monochromophoric units, which, in turn, were replaced by the HOMOs of benzene, that is, the quinoidal and bisallylic orbitals (Figure 4a). Using this assumption, the probability of finding the HOMO electron on the “internal” aromatic carbon atoms (highlighted by dashed circles in Figure 3b), which was calculated as the sum of the squares of the atomic orbital coefficients, was found to be three times larger in **T23** than in **T14**, which accounts for the significantly reduced electronic coupling in **T14** (Figure 4a).^[26]

The interaction of the HOMOs of the individual monomer units in **T23** (or **T14**) results in Möbius topology, and therefore, a simple Hückel approach shows that the resulting filled frontier molecular orbitals will be arranged in an inverted Frost circle (Figure 4b). Thus the HOMO of **T23** (or **T14**) is destabilized by a value of 2β (where β is the electronic coupling), whereas the degenerate orbitals HOMO-1/HOMO-2 are stabilized by a value of β with respect to the monomer HOMOs (see the Supporting Information for details).

The inverted Frost circle in Figure 4b can be quantitatively scaled by making use of the energies of the HOMO and HOMO-1/HOMO-2 of **T23** obtained by DFT calculations, which then directly provides a value of $\beta(\mathbf{T23}) = 276$ mV (Figure 4c). Interestingly, a similar Frost diagram for **T14** (Figure 4c) yielded a value of $\beta(\mathbf{T14}) = 39$ mV, which is seven times smaller than that of **T23**.^[27,28]

Based on the dramatically different β values for **T14** and **T23**, the spin/charge distribution is expected to be delocalized in **T23**⁺ and more or less localized in **T14**⁺. Indeed, the calculated spin/charge distribution in **T23**⁺ confirms a complete delocalization of the spin/charge in **T23**⁺ whereas in **T14**⁺, it is localized on one unit (Figures 5 and S6).^[29]

The DFT calculations also provided the free energies of oxidation (ΔG_{ox}) for **T23**, **T14**, **M23**, and **M14**, and they were in good agreement with the experimental values (see Table S7). For example, the ΔG_{ox} value of **T23** was 350 mV

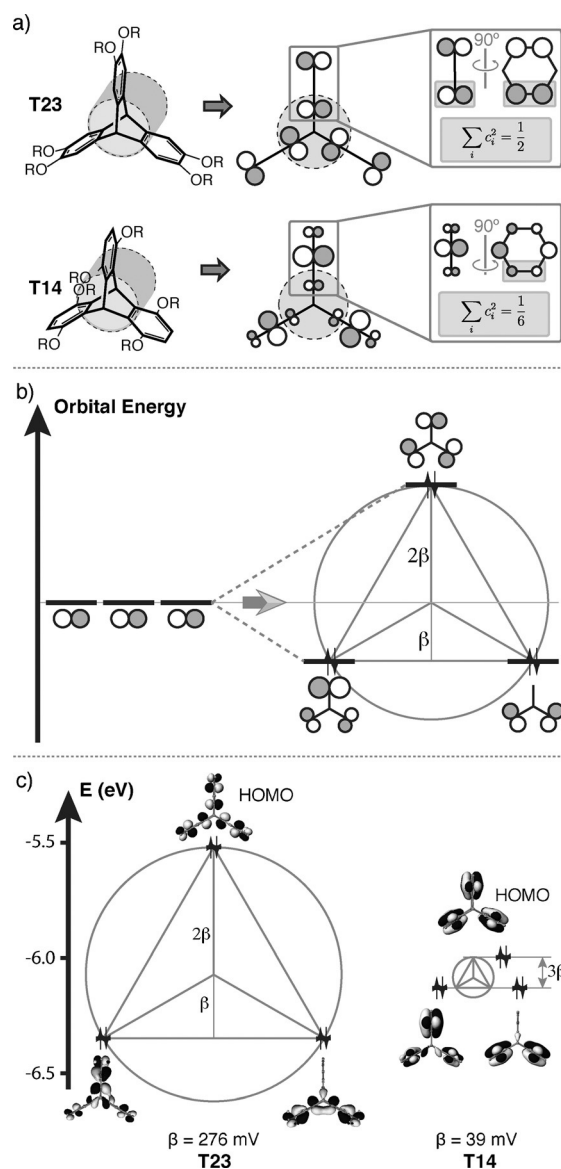


Figure 4. a) Comparison of the probability of finding an electron occupying B and Q orbitals on the atoms highlighted by dashed circles. b) The Frost circle corresponding to the triptycene topology with the monomer HOMOs shown as p-type orbitals for clarity. c) Scaling of the Frost circle in (b) using the calculated energies of the three HOMOs of **T23** and **T14**. See Figure S4 for the Hartree–Fock orbitals and Table S8 for other DFT functionals.

lower than that of **T14** whereas the ΔG_{ox} energy of **M23** was only within 40 mV of that of **M14**. Importantly, the ΔG_{ox} of **T23** was 260 mV lower than that of **M23**, whereas the ΔG_{ox} of **T14** was 50 mV higher than that of **M14**, which is in close agreement with the experimental values (see Figure 2a). Moreover, the calculated electronic transitions (TD-DFT) in the triptycene RCs were in good agreement with those observed in the experimental electronic absorption spectra of **T23**⁺ and **T14**⁺ (Figure 2b).

Clearly, the simple and logical approach of assessing the electronic coupling by a visual inspection of the HOMOs readily accounts for the presence/absence of electronic coupling in **T23** and **T14**. An application of this approach to

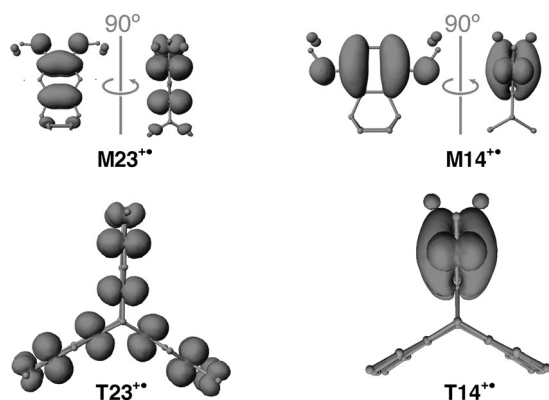


Figure 5. Spin-density plots (0.001 a.u.) of **T23⁺**, **T14⁺**, **M23⁺**, and **M14⁺** [B1LYP-40/6-31G(d) + PCM(CH₂Cl₂)]. Only the positive component of the unpaired spin density is shown for clarity. See Figure S5 for complete spin and charge distributions.

other triptycene derivatives containing PAHs as chromophores reveals a complete lack of electronic coupling in triptycenes with naphthalene, anthracene, and higher acene derivatives as chromophores because the shapes of the HOMOs render the HOMO density to be negligible in the region of the interchromophoric interaction (Figure 6). In

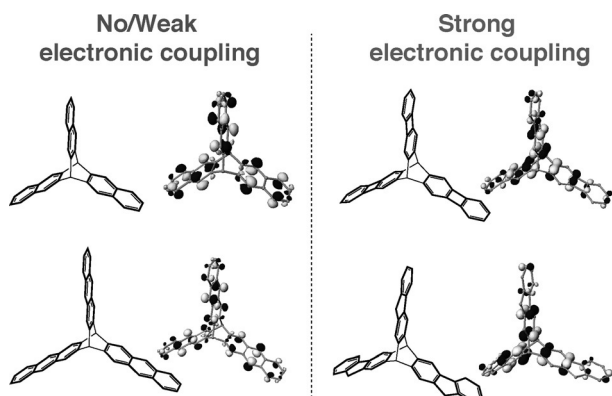


Figure 6. Evaluation of the electronic coupling amongst the interacting chromophores in representative examples based on a visual inspection of the HOMOs.

contrast, the shapes of the HOMOs in triptycenes with biphenylene and fluorene derivatives as the interacting chromophores are indicative of strong electronic coupling because the HOMO density is high in the region of the interchromophoric interaction (Figure 6; see also Table S14 for the calculated HOMOs, the corresponding spin/charge distributions, and redox potentials). This approach is not limited to evaluating the interchromophoric electronic coupling in triptycenes but can also be applied to any cofacially arrayed polychromophoric systems as well as covalently linked π -conjugated systems (see the Supporting Information).

In summary, we have demonstrated that there is extensive interchromophoric electronic coupling in **T23**, which was

gauged by the lowering of its redox potential and the appearance of an intervalence transition in the electronic spectrum of **T23⁺** compared to model compound **M23**. In contrast, the absence of electronic coupling in **T14** was evident from its redox potential and the RC electronic spectrum, which were similar to those of model compound **M14**. The on/off switching of the interchromophoric coupling in **T23** and **T14** by a simple repositioning of the substituents was easily rationalized based on the inspection of their HOMOs. This logical and intuitive approach for the quantitative evaluation of the interchromophoric electronic coupling is widely applicable and highly reminiscent of the methods used for the prediction of chemical reactivity and the regio- and stereoselectivity of a variety of organic transformations, which are based on an analysis of the frontier molecular orbitals,^[30] and is expected to have far-reaching implications in designing next-generation charge-transport materials for applications in photovoltaics and molecular electronics.

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- [26] Importantly, this difference means that the overlap of the HOMOs of the monochromophoric units is reduced by a factor of three in **T14** compared with that of **T23**. As the electronic coupling integral between two orbitals is often proportional to the overlap integral (e.g., such a linear relationship is used in the extended Hückel method), the electronic coupling amongst chromophores in triptycenes can also be expected to be three times smaller in **T14** than in **T23**.
- [27] Moreover, the shape and the nodal arrangement of the DFT orbitals of **T23/T14** in Figure 3c showed a striking similarity of the per-chromophore contribution in each of these molecular orbitals when compared with the molecular orbitals obtained by the Hückel approach (Figure 3b).
- [28] The use of other DFT methods and Hartree–Fock produced essentially the same ratio, $\beta(\mathbf{T23})/\beta(\mathbf{T14}) \approx 7\text{--}9$; see Table S8.
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